

02/07/02
JCS25 U.S. PTO

JORDAN AND HAMBURG LLP
122 East 42nd Street,
New York, NY 10168
Tel: (212) 986-2340 Fax: (212) 953-7733
Customer No.: 000028107

JCS96 U.S. PTO
10/072489
02/07/02

Docket No.: D-437
Filing Date: February 7, 2002

| | |
|---|--|
| Certificate of Express Mailing Under 37 CFR 1.10 | |
| "Express Mail" label number: EV 021743131 US | Date of Deposit: 2/7/2002 |
| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 having the above "Express Mail" label number on the date of deposit indicated above. | |
| Madeline Gonzalez (Typed or printed name of person mailing paper or fee) | <i>Madeline Gonzalez</i> (Signature of person mailing paper or fee) |

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

- ☒ ATTN: BOX PATENT APPLICATION
☐ ATTN: BOX DESIGN PATENT APPLICATION
☐ ATTN: BOX PCT
☐ ATTN: BOX PROVISIONAL PATENT APPLICATION
☐ THIS IS THE 35 U.S.C 371 NATIONAL STAGE OF _____ FILED

Sir:

Transmitted herewith for filing is the ☒ Utility ☐ Design ☒ nonprovisional ☐ provisional patent application of:

Inventor / Application Identifier: Jonathan WALDEN, et al.

☐ See Inventor Information Sheet attached

For: **METHOD AND APPARATUS FOR CONTENT BASED ROUTING OF MESSAGES**

- ☐ This is a new patent application.
☐ This is the 35 U.S.C. 371 National Stage Application of the above-identified PCT Application.
☐ This is a provisional patent application.
☒ This is a: ☒ Continuation Application
☐ Divisional Application
☐ Continuation-in-Part Application
of prior Application Serial No. 09/963,182.
☐ Cancel in this application original claims ___ of the prior application before calculating the filing fee.
☒ Amend the specification by inserting before the first line the sentence:
-- This is a ☒ Continuation, ☐ Divisional, ☐ Continuation-in-part, of
Application Serial No. 09/963,182 filed September 25, 2001, now Pending. -

[X] Incorporation By Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

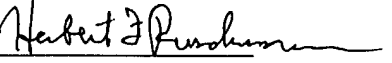
| | | |
|-----------------------------|--|---|
| ENCLOSED ARE THE FOLLOWING: | | |
| X | 6 | Sheets of drawings ([X] formal [] informal size A4). |
| X | 24 | Pages of specification including abstract and claims. |
| X | 30 | Total pages. |
| X | 1 | Compact disk having a program listing of source code |
| X | 1 | Request under 37 C.F.R. §1.213 for Nonpublication of Application |
| X | Combined Declaration and Power of Attorney | |
| | | Newly executed |
| | X | Copy from prior application |
| | | Inventors deleted; see attached statement |
| | Sequence Listing | |
| | | Computer Readable Copy |
| | | Paper copy |
| | | Statement verifying identity of above copies |
| X | Return Receipt Postcard | |
| | Preliminary Amendment | |
| | Assignment to: | |
| | | Assignment is of record in prior application Serial No. _. |
| | | Assignment Recordation Form Cover Sheet. |
| | | Charge \$40.00 to Deposit Account No. 10-1250 for recording Assignment. |
| | Information Disclosure Statement | |
| | Information Disclosure Citation | |
| | English translation | |
| X | Application Data Sheet | |

| PRIORITY CLAIMS | |
|-----------------|---|
| X | <p>Applicant hereby claims the benefit of the filing date of the following provisional application(s) under the provision of 35 USC 119.</p> <p style="text-align: center;">US Patent Appln. No. 60/234,926, filed September 25, 2000, Priority Claimed</p> |
| | <p>Applicant hereby claims the benefit under the provisions of 35 USC 119 of the filing dates of the following applications as indicated below:</p> <p style="text-align: center;">of which certified copies thereof</p> |
| | will follow |
| | are enclosed |
| | have been filed in the International Bureau |
| | were filed in prior application: |

| CLAIMS FILED AND FILING FEE CALCULATION | | | | | |
|--|--------------|-------------|------------------------|---------|-------------|
| ITEM | — | | | Rate | Applied Fee |
| [X] Base Fee - Non PCT | --- | | | \$740 | \$740 |
| [] Base Fee - PCT IPEA-US | — | | | \$710 | |
| [] Base Fee - PCT ISA-US | — | | | \$740 | |
| [] Base Fee - PCT not ISA or IPEA | — | | | \$1,040 | |
| [] Base Fee - PCT with EPO or JPO Search Report | — | | | \$890 | |
| [] Base Fee - Design | — | | | \$330 | |
| [] Base Fee - Provisional | — | | | \$160 | |
| Claim Fees | Number Filed | Base Number | Number Extra over Base | — | |
| Total Claims | 1 | 20 | 0 | \$18 | \$0 |
| Independent Claims | 1 | 3 | 0 | \$84 | \$0 |
| Multiple Dependent Claim Fee | — | | | \$280 | \$0 |
| [X] Small Entity Status is Asserted | — | | | | (\$370) |
| [] Foreign Language Filing Fee | — | | | \$130 | \$0 |
| TOTAL FILING FEE | | | | | \$370 |

- [X] Please charge Deposit Account No. 10-1250 in the amount of the above TOTAL FILING FEE. A duplicate copy of this sheet is attached.
- [X] Please charge to Deposit Account No. 10-1250 any further fees due for filing or during prosecution of this application under: 37 CFR 1.16; 37 CFR 1.17; and 37 CFR 1.492.

JORDAN AND HAMBURG LLP

By 
Herbert F. Ruschmann
Reg. No. 35,341
Attorney for Applicants

D-437

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan Walden et al.
Serial No. : Not Yet Known
Filed : Concurrently Herewith
For : METHOD AND APPARATUS FOR CONTENT
BASED ROUTING OF MESSAGES
Group Art Unit : Not Yet Known
Examiner : Not Yet Known

Assistant Commissioner for Patents
Washington, D.C. 20231

**REQUEST UNDER 37 C.F.R. §1.213(b)(2)(B)(i) FOR
NONPUBLICATION OF APPLICATION**

Sir:

Applicants in the above referenced application hereby request that the application not be published under 35 U.S.C. § 122(b).

It is hereby certified that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication eighteen months after filing.

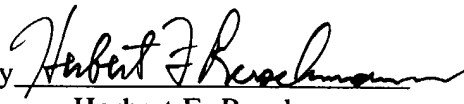
D-433

Ser. No. 09/963,182

Please charge any deficiency or credit any overpayment to Deposit Account

No. 10-1250.

Respectfully submitted,
Jordan and Hamburg LLP

By 
Herbert F. Ruschmann
Reg. No. 35,341
Attorney for Applicants

Jordan and Hamburg LLP
122 East 42nd Street
New York, New York 10168
(212) 986-2340